

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

SIM-10002/16

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR
09/101,844

INTERNATIONAL APPLICATION NO.
PCT/CA97/00212

INTERNATIONAL FILING DATE
03 APRIL 1997

PRIORITY DATE CLAIMED
03 APRIL 1996

TITLE OF INVENTION

LEAD-FREE BULLETT

APPLICANT(S) FOR DO/EO/US

CESARONI, ANTHONY J.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☐ Certificate of Mailing by Express Mail
19. ☐ Other items or information:

Verified Statement Claiming Small Entity Status; Request for Refund

20. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Search Report has been prepared by the EPO or JPO \$930.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) \$720.00
- ☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$790.00
- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,070.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$98.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). ☐ 20 ☐ 30

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	- 20 =	0	x \$22.00
Independent claims	- 3 =	0	x \$82.00

Multiple Dependent Claims (check if applicable). ☐

TOTAL OF ABOVE CALCULATIONS =

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). ☐ 20 ☐ 30 +

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☒

TOTAL FEES ENCLOSED =

Amount to be:
refunded \$
charged \$

- ☐ A check in the amount of _____ to cover the above fees is enclosed.
- ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **07-1180** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Ronald W. Citkowski
Gifford, Krass, Groh, Sprinkle,
Patmore, Anderson & Citkowski, P.C.
280 N. Old Woodward Avenue, Suite 400
Birmingham, MI 48009-5394
(248) 647-6000 Phone
(248) 647-5210 Fax

SIGNATURE

Ronald W. Citkowski

NAME

31,005

REGISTRATION NUMBER

27 August 1998

DATE

Attorney Docket: SIM-10002/16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony J. Cesaroni

PATENT

Serial No.: 09/101,844

Filed: July 16, 1998

For: LEAD-FREE BULLET

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

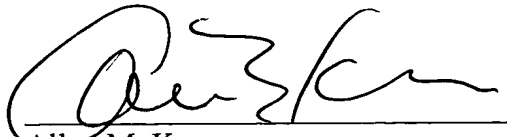
Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C.
371 dated 04 September 1998, enclosed are the following:

1. Copy of Declaration filed August 28, 1998 and accompanying documents;
2. Check in the amount of \$65.00 to cover the small entity surcharge;
3. Copy of Notification of Missing Requirements.

If any additional charges are required, please charge our deposit account
number 07-1180.

Respectfully submitted,



Allen M. Krass
Registration No. 18,277
Gifford, Krass, Groh, Sprinkle,
Patmore, Anderson & Citkowski, P.C.
280 N. Old Woodward, Suite 400
Birmingham, MI 48009
(248) 647-6000

10/07/1998 PVOLPE 00000014 09101844

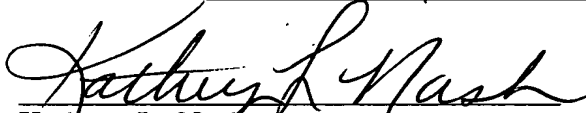
01 FC:254

65.00 DP

RWC/kln

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated below with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231 on 9-30-98.


Kathryn L. Nash

Attorney Docket No. SIM-10002/16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony J. Cesaroni

PATENT

Serial No.: 09/101,844

Filed: July 16, 1998

For: LEAD-FREE BULLET

REQUEST FOR REFUND

Assistant Commissioner of Patents
Washington, D.C. 20231

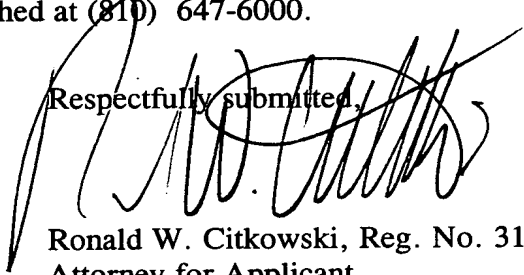
Dear Sir:

Applicant respectfully requests a refund of one-half of the filing fee in the above-identified patent application. Enclosed is a Verified Statement Claiming Small Entity Status executed by the applicant.

If there are any fees associated with this request, the Commissioner is hereby authorized to charge them to Deposit Account No. 07-1180. A duplicate of this request is enclosed.

If the Examiner has any further questions relating to this Application, Applicant's attorney may be reached at (810) 647-6000.

Respectfully submitted,


Ronald W. Citkowski, Reg. No. 31,005
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Gifford, Krass, Groh, Sprinkle,
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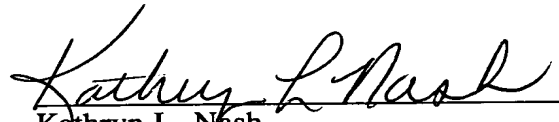
Serial No. 09/101,844 - Page 2

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NUMBER EL070567977US

DATE OF DEPOSIT August 28, 1998

I hereby certify that this paper or fee (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.


Kathryn L. Nash